

PRELIMINARY INVENTORY OF THE RECORDS
OF THE JOINT COMMITTEE ON THE INVESTIGATION
OF THE PEARL HARBOR ATTACK, 79TH CONGRESS
(1945-1946)

Compiled by
George P. Ferris

The National Archives
National Archives and Records Service
General Services Administration

Washington: 1961

CONTENTS

	<u>Page</u>
Introduction	1
Inventory	7
Appendices:	
Appendix A (List of the folder titles of the General Correspondence [Entry 1])	12
Appendix B (List of the subject titles of the "Committee File")	13
Appendix C (List of the Memoranda of the Joint Committee's Legal Staff [Entry 4])	15
Appendix D (List of Exhibits Presented at the Hearings of the Joint Committee)	16
Label List	36

INTRODUCTION

At dawn on December 7, 1941 (Hawaiian time) a Japanese striking force of six aircraft carriers stood 200 miles north of the island of Oahu. The task force had received the day before the order to launch naval and aerial operations against the American bases on Oahu and against the units of the United States Pacific fleet stationed in Pearl Harbor, which is on the Southern shore of the island. On that morning there were eight battleships in the roadstead; seven were moored on the south side of Ford Island in the area known as "Battleship Row," and the other vessel was in dry dock. Besides the battleships, there were nine cruisers, five submarines, and various auxiliary vessels at the base; the entire naval force aggregated 86 combat and service vessels. But there were no aircraft carriers of the Pacific Fleet at Oahu. For the U.S.S. SARATOGA was at San Diego for repairs, The U.S.S. LEXINGTON was on maneuvers southeast of Midway Island, and the U.S.S. ENTERPRISE, having ferried aircraft to Wake Island, was en route to Pearl Harbor.

Between 6:00 and 7:15 a.m. on December 7, 1941, the Japanese aircraft carriers launched 360 aircraft in three waves, and then withdrew to the northwest at high speed. In the meantime Japanese submarines, including five midget ones, had stationed themselves off the narrow entrance of Pearl Harbor, to prevent the escape of the entrapped vessels, and to close the harbor by sinking a vessel in its approaches. The Japanese aircraft rendezvoused south of the launching area and then flew to the island for coordinated attacks.

Achieving complete surprise, the Japanese planes first attacked Kaneohe Naval Air Station, located on the eastern side of the island; the attack then shifted to the other airfields - the Army's Hickam and Wheeler Fields, the Navy's Ford Island base, and the Marine Corps' Station at Ewa. The attackers caught the land-based aircraft on the ground, parked wing-tip to wing-tip at their bases. Immediately after the initial attack on the airfields, the Japanese struck at the heavy ships in Pearl Harbor, nor did they overlook smaller naval units in this phase of the attack. Despite the surprise of the Japanese assault, the defenders were soon able to put up a heavy antiaircraft fire from the batteries of the fleet, and even some land-based aircraft went aloft to engage the enemy. In the midst of the melee, aircraft from the U.S.S. ENTERPRISE, then only 200 miles away, arrived at Oahu, and three or four of them were accidentally felled by the defenders. In addition, unarmed Flying Fortress bombers came over from the mainland during the battle, and several, unable to defend themselves, fell easy prey to the Japanese.

The Japanese attack, well-planned and skillfully executed, lasted less than two hours. But it did great damage, for the armed forces of the United States sustained 3,435 casualties and the loss of, or the severe damage to, 188 aircraft, 8 battleships, 3 light cruisers, and 4 other vessels. Nevertheless, the defending forces, both afloat and ashore, acquitted themselves with credit; they earned 14 Congressional medals of Honor, of which 10 were awarded post humously. The attacking force, on the other hand, managed to withdraw without being intercepted, having carried out its mission with light losses: less than 100 casualties, and the loss of 29 aircraft and of 5 midget submarines.

While the United States was at war, the executive branch of the government conducted, in closed sessions, several investigations of the disaster at the Pacific naval base. Shortly after the attack, Secretary of the Navy Frank Knox, acting under instructions from President Franklin D. Roosevelt, flew to Pearl Harbor for a personal investigation of conditions at the naval base before and after the Japanese blow. In five days the Secretary reported to the President. A short while thereafter, the President, by an executive order dated December 18, 1941, appointed a commission, headed by Owen J. Roberts, the jurist, to make a more extensive probe of the disaster. The commission submitted its report to the President on January 23, 1942. After the lapse of several months, Admiral Thomas C. Hart, U. S. Navy (Ret.), acting under a precept of the Secretary of the Navy dated February 12, 1944, conducted another inquiry, which ended on June 15, 1944; but Admiral Hart made no report. Upon the enactment of Senate Joint Resolution 133, 76th Congress, approved on June 13, 1944, directing the "Secretary of War and the Secretary of the Navy ... severally ... to proceed forthwith with an investigation into the facts surrounding the catastrophe ...," the War and Navy Departments appointed, respectively, the Army Pearl Harbor Board and the Navy Court of Inquiry, in compliance with the resolution. These tribunals conducted separate inquiries from July to October, 1944. In the closing stages of the war, Secretary of War Henry L. Stimson and Secretary of the Navy James Forrestal, dissatisfied with some findings and conclusions of the APHB and of NCI, renewed the investigation of the attack. The former appointed Major Henry C. Clausen late in 1944, and the latter Admiral H. Kent Hewitt

in May, 1945, to supplement, severally, the records of the Army Pearl Harbor Board and the Navy Court of Inquiry. In addition, Colonel Carter W. Clarke, pursuant to instructions from the Chief of Staff, General George C. Marshall, inquired into certain intelligence aspects of the Pearl Harbor attack. Admiral Hewitt and Colonel Clarke ended their inquiries on July 11, 1945, and August 4, 1945, respectively; Major Clausen concluded his stint on September 12, 1945.

After World War II had ended, Congress resolved to conduct its own investigation of the Pearl Harbor case. Members of the Senate and of the House of Representatives had been dissatisfied with the ex parte proceedings of the executive branch's investigative tribunals. So, Senator Alben W. Barkley introduced Senate Concurrent Resolution 27, 79th Congress, on September 6, 1945, to establish a joint committee of three Democratic and two Republican Senators and of a like number of Representatives, for a "full and complete investigation" of the Pearl Harbor disaster. In his statement supporting the passage of the concurrent resolution, Senator Barkley told the Senate:

"... these reports [of the investigations conducted by the executive branch] are confusing and conflicting when compared with one another, and to some extent contain contradictions and inconsistencies within themselves."

"Under these circumstances, it is not strange that widespread confusion and suspicion prevail among the American people and among the Members of Congress" (Congressional Record, September 6, 1945, p. 8338).

The Senate passed, with an amendment, the concurrent resolution on September 6, 1945; the House of Representatives passed it five days later,

after three attempts to amend it had failed. The concurrent resolution directed the Joint Committee to report to Congress "not later than January 3, 1946, the results of its investigation, together with such recommendations as it may deem advisable." The time limit, however, was extended to February 15, 1946, and then to June 1, 1946, by Senate Concurrent Resolutions 49 and 54, of December 21, 1945, and February 15, 1946, respectively.

On September 14, 1945, the President pro tempore of the Senate and the Speaker of the House announced that they had, respectively, appointed, as members of the Joint Committee on the Investigation of the Pearl Harbor Attack, Senators Alben W. Barkley, Walter F. George, Scott W. Lucas, Owen Brewster, and Homer Ferguson; and Representatives Jere Cooper, J. Bayard Clark, John W. Murphy, Bertrand W. Gearhart, and Frank B. Keefe. William D. Mitchell became the committee's General Counsel, but he did not serve beyond January 14, 1946. Thereafter Seth W. Richardson was the committee's chief counsel.

The Joint Committee, with Senator Barkley as Chairman, and Representative Cooper as vice-chairman, opened its hearings on November 15, 1946. When they were terminated, on May 31, 1946, the committee had heard 43 witnesses and had entered into its record 133 exhibits and, as additional exhibits, proceedings of earlier investigations of the Pearl Harbor incident. Throughout its existence the committee labored under differences among its members over the scope and the procedures of the investigation. These differences, consequently, eventuated in the issuance, on July 20, 1946, of Senate Document 244, 79th Congress, 2d session (580 pp.), containing majority and

minority reports to Congress on the results of the Joint Committee's investigation. Senators Barkley, George, and Lucas, and Representatives Cooper, Clark, Murphy, Gearhart, and Keefe, submitted the majority report (pp. 1-492); Senators Brewster and Ferguson made the minority report (pp. 493-573). Representative Keefe, though a signatory of the majority report, also issued "Additional Views" (pp. 266A-266W). With the submission of the reports, the activities of the Joint Committee ceased.

The testimony received by, and the exhibits entered in the record of, the Joint Committee have been printed in its Hearings: parts (volumes) 1 through 11 contain the testimony; parts 12 through 21, its numbered exhibits (Nos. 1-183); and parts 22 through 39, proceedings and reports of earlier investigations of the Pearl Harbor case.

The records described in this inventory, approximating 40 cubic feet, are the records of the Joint Committee on the Investigation of the Pearl Harbor Attack, 79th Congress, which have been transferred to the National Archives from the Capitol. They are a part of Record Group 126, Records of Joint Committees of Congress.

Congress has imposed no restrictions on the use of the records of the Joint Committee, but since many classified documents from the executive branch are interspersed among the committee's files, access to these documents cannot be granted, except wherever it can be shown that a document has been made public.

GENERAL CORRESPONDENCE. Sept. 1945-Apr. 1946. 2 ft.

1

This segment of the joint committee's files comprises letters and, in some instances, accompanying documents, largely from members of the public, commenting on the proceedings of the joint committee, submitting information purportedly of value to the investigation, offering to furnish information or testimony, or applying for employment on the joint committee's staff (together with copies of the committee's replies to its correspondents). In addition, the general correspondence includes some administrative records of the committee, and a few items, which would have been more appropriately filed in the "Committee Files." Most of the correspondence is filed according to the initial letter of the surname of the correspondent; for a list of all the folder headings of the "General Correspondence," see Appendix A.

COMMITTEE FILES. Aug. 1945-July 1946. 3 ft.

2

Among this part of the joint committee's files are correspondence of counsel with members of the committee; correspondence with Governor Thomas E. Dewey; some of the documents, other than exhibits, entered in the transcript of the committee's hearings; photostats of documents furnished Admiral Kimmel at his request; some general correspondence; correspondence with Joseph C. Grew; copies of citations for heroism during the attack on Pearl Harbor; Hannaford's notes on the intercepts file; correspondence with Cordell Hull, together with his answers to the committee's interrogatories; Japanese photographs of Pearl Harbor under attack; letter from Tyler Kent; photostats of Kimmel-Stark correspondence; correspondence with the representatives of the estate of Frank Knox; photostats of Knox-

Stimson correspondence; documents on the legislative history of Senate Concurrent Resolution 27, 79th Congress; photostats of communications between Generals Marshall and Short; portfolios with "miscellaneous" notes, memoranda, correspondence, etc.; correspondence with the State, War, and Navy Departments, concerning the joint committee's agenda; copies of Presidential directives concerning the investigation; correspondence with Owen J. Roberts; "Roosevelt Estate File"; H. L. Stimson correspondence file, along with his statement of March, 1946, and his answer (Apr. 23, 1946) to certain interrogatories from Senator Ferguson; some administrative records, such as rules of committee procedure and receipts for the withdrawal of records; and a portfolio of documents concerning the "winds" code. See Appendix B for a list of the subject titles of this series.

MEMORANDUM OF WILLIAM D. MITCHELL. Jan. 1946. 3 vols. 1 in.

3

The original, and two mimeographed copies, of the memorandum prepared by the retiring General Counsel William D. Mitchell for the use of his successor and the members of the Joint Committee. It lists the names of the persons whom he thinks should be summoned to testify, and the topics on which (he believes) they should respectively be interrogated, comments on the testimony of Admiral H. E. Kimmel, discusses the "winds execute" message, describes the disposition of the Franklin D. Roosevelt papers and the procedure used by the Joint Committee in examining some of them; deals with the case of Colonel Theodore Wyman; and incorporates an extract from the book Army Air Forces in the War Against Japan, 1941-1942.

MEMORANDA OF THE JOINT COMMITTEE'S LEGAL STAFF. 1945-46. 4 vols. 3 in. 4

Four memoranda prepared by the Joint Committee's legal staff for the assistance and sole personal use of the members of the committee, presenting the findings and conclusions which the staff members had derived from studies of the joint committee's records. See Appendix C for a descriptive listing of these documents, which are, in part, the basis of the Joint Committee's majority report.

REPORTER'S TRANSCRIPT OF THE JOINT COMMITTEE'S HEARINGS. Nov. 1945-
May 1946. 10 ft. 5

The hectographed, verbatim transcript (comprised in 71 volumes numbered consecutively) of the hearings conducted by the Joint Committee intermittently between November 15, 1945 and May 31, 1946. The committee's files include an extra set of the volumes of the transcript.

EXHIBITS OF THE JOINT COMMITTEE. 1941-1946. 9 ft. 6

Printed, mimeographed, photostatic, or typewritten copies of most of the exhibits entered in the record of the Joint Committee: exhibits nos. 1-4, 7-128, 130, 132-146, 148, 150-156, 158-183. The Joint Committee's exhibits are printed in the Hearings, vols. 12-38.

RECORDS OF THE PROCEEDINGS OF THE ROBERTS COMMISSION. Dec. 1941-
Jan. 1942. 2 ft. 7

The files of the Joint Committee reserved for the proceedings of the Roberts Commission comprise the following items: the transcript of its hearings, the annex thereto, and most of the commission's Army exhibits. Parts 22 through 25 of the Hearings of the Joint Committee print the proceedings of the Roberts Commission.

RECORDS OF THE PROCEEDINGS OF THE HART INQUIRY. Feb. 1944-June 1944.
1 ft. 8

The following records document the inquiry conducted by Admiral Thomas C. Hart: the transcript of the hearings, exhibits received in evidence, and the minutes of the Liaison Committee (Nov. 7, 1939-June 24, 1942). This committee, composed of General Marshall, Admiral Stark, Sumner Welles, and others, held numerous sessions, dealing mainly with measures for the defense of the Western Hemisphere. Part 26 of the Joint Committee's Hearings contains the proceedings of the Hart Inquiry.

RECORDS OF THE PROCEEDINGS OF THE ARMY PEARL HARBOR BOARD. July 1944-
Oct. 1944. 3 ft. 9

The records of the Army Pearl Harbor Board turned over to the Joint Committee comprise the transcripts of the Board's hearings (41 vols., plus 4 additional volumes respectively identified as A, B, C, and D), copies of most of the exhibits received in evidence, and copies of the following reports: Supplemental Report of Army Pearl Harbor Board on Phases Mentioned in House Military Affairs Report Which Relate to the Pearl Harbor Disaster; Top Secret Report of Army Pearl Harbor Board, along with top secret memoranda of the Judge Advocate General reviewing the secret and top secret reports of the Army Pearl Harbor Board; and the Report of Investigation by Lt. Colonel Henry C. Clausen, JAQG, for the Secretary of War Supplementary to Army Pearl Harbor Board. Parts 27 through 31 of the Joint Committee's Hearings comprise the proceedings of the Army Pearl Harbor Board.

RECORDS OF THE PROCEEDINGS OF THE CLAUSEN INVESTIGATION. Nov. 1944-
Sept. 1945. 2 ft.

10

This part of the Joint Committee's files comprise the report of the investigation conducted by Lieutenant Colonel Henry C. Clausen and the exhibits which he received in evidence. Part 35 of the Joint Committee's Hearings embodies the proceedings of the Clausen Investigation.

RECORDS OF THE PROCEEDINGS OF THE NAVY COURT OF INQUIRY. July 1944-
Oct. 1944. 16 in.

11

The files of the Joint Committee contain the transcript of the hearings of the Navy Court of Inquiry and most of the exhibits that the tribunal entered in its record. The proceedings of the Navy Court of Inquiry have been printed in Parts 32 and 33 of the Joint Committee's Hearings.

GALLEY PROOFS OF THE PROCEEDINGS AND REPORTS OF PREVIOUS INVESTIGATIONS
OF THE PEARL HARBOR ATTACK. 1941-1945. 14 vols. 4 ft.

12

Galley proofs of Parts 22 through 39 of the printed hearings of the joint committee, embodying the proceedings and reports of the Roberts Commission, the Hart Inquiry, the Army Pearl Harbor Board, the Navy Court of Inquiry, the Clausen Investigation, the Clarke Investigation, and the Hewitt Inquiry. The galley proofs are bound with hard covers measuring 9" x 14 1/2" Arranged in the order listed.

PRINTED HEARINGS OF THE JOINT COMMITTEE. 1941-1946. 40 vols. 8 ft.

13

This series comprises two sets of the printed hearings of the Joint Committee, each set numbering 39 parts (or volumes).

SENATE DOCUMENT 244, 79TH CONGRESS, 2ND SESSION.

14

Print of the Senate document containing the majority and minority reports of the joint committee, along with the additional views of Representative Keefe.

APPENDIX A

List of the folder titles of the General Correspondence (Entry 1):

Applications

A - Misc.

Anonymous

Aranjo de Artiano, Lastenia

B

Bailey, Col. Edward P.

Barkley, Sen. Alben W.

Bicknell, Geo. W.

Brennan, Leslie T.

C

D

E

F

Ferguson, Sen. Homer

Friends of Democracy

Giegengack, Augustus E (re: Mr. Jerry Allen

H

Hull, Cordell

I

J

K

Kaufman, Samuel H.

Kearney, John J.

Keefe, Hon. Frank B.

L

Mc

M

N

O

P

Q

R

Redden, Mrs. Marion P.

Reporters

S

T

U

V

W

War Department

X-Y-Z

APPENDIX B

List of the subject titles of the "Committee File": *Entry 2*

Committee Administrative File
Gov. Dewey Correspondence File
Documents inserted in transcript [2 portfolios]
"Photostats received from Miss Tully appearing in transcript
or exhibits"
Duplicated furnished Adm. Kimmel at his request
Committee General Correspondence
Joseph C. Grew Correspondence File
Hammelford's Notes from Intercepts File from War Department
Hearings - Misc. Working Papers
Heroism [in defense of Pearl Harbor]
Cordell Hull Correspondence File
Indices to Transcripts and Exhibits
Jap Pictures of Attack
Kent, Tyler
Photostats [of] Kimmel-Stark Correspondence
Frank Know Estate Correspondence
Knox-Stinson Correspondence
Legislative History of the Joint Resolution for the Pearl
Harbor Investigation
[Communications between General Marshall and General Short]
Miscellaneous papers, documents, etc. [Portfolios Nos. 1 and 2]
Miscellaneous papers, documents, etc. [Portfolios Nos. 3 and 4]
Miscellaneous papers, documents, etc. [Portfolio No. 5]
Navy Administrative File
Navy Dept. Request File, Vol. 1
Navy Department No. II
Navy Dept. Pending File
Navy Intelligence Ex., photostats used for
Newspaper Publicity, Nov. 20-Dec. 7, 1941
Order of Proof
Presidential Directives
Receipts for distribution of exhibits and other material to
all committee members
Incoming receipts
Outgoing receipts
Reconstruction of Japanese Plans Leading up to Attack on Pearl
Harbor
General Correspondence - Hon. Seth W. Richardson (Personal)
Justice Robert's Correspondence File
Roosevelt Estate File
Rules of Procedure
Senate Library, records received from the, by the National Archives

Stark, Admiral, copies of materials furnished to
State Department Pending File
State Department Request File
H. L. Stimson Correspondence File
Statement (sworn) of H. L. Stimson, March, 1946
Reply of H. L. Stimson to Interrogatories, 4-23-46
War Department Administrative File
War Department Pending File
War Dept. Request File
"Kinds" Code, materials used in preparing exhibit 142:

APPENDIX C

List of the Memoranda of the Joint Committee's Legal Staff (Entry 4):

1. Memorandum prepared by Associate General Counsel Samuel H. Kaufman (undated). Typewritten memorandum reviewing the background of the strained relations between Japan and the United States; the development of the diplomatic crises with Japan; the defense plans and preparations of the United States; the handling, evaluation, and dissemination of "magic" information derived from the decoding of Japanese diplomatic communications; warnings and orders to the Hawaiian commanders; and other questions material to the investigation. 113 pp.
2. Unsigned, undated memorandum prepared by "General Counsel" Mimeographed memorandum discussing findings of the Joint Committee and prescribing the considerations that should govern the preparation of its report. 70 pp.
3. Memorandum prepared by Assistant Counsel John E. Maston, dated June 12, 1946. Committee print of a Memorandum Reviewing the Diplomatic Relations between the United States and Japan from Aug. 1, 1941, to Dec. 8, 1941, in the Light of Facts Made Public by the Proceedings of the Joint Committee. 152 pp.
4. Memorandum prepared by General Counsel Seth Richardson, dated 1946. Committee print of a memorandum dealing with the prior investigations of the Pearl Harbor attack, the extent of the record, the historical background of the attack, the war plans of the United States, the Hawaiian defense plans, the "magic" intercepts, Washington responsibilities, the Fourteen-part message, Hawaiian responsibilities, and other questions material to the investigation. The appendix includes excerpts from the memorandum of Assistant Counsel Edward P. Morgan, which is missing from the files of the Joint Committee. 170 pp.

APPENDIX D

List of Exhibits Presented at the Hearings of the Joint Committee (Entry 6)

<u>Exhibit No.</u>	<u>Description</u>
1	Selection of intercepted diplomatic messages sent and received by the Japanese Government and its foreign establishments between July 1 and Dec. 8, 1941.
2.	Selection of intercepted messages sent and received by the Japanese Government and its foreign establishments between Dec. 2, 1940, and Dec. 8, 1941, concerning military installations, ships movements, and espionage reports, etc.
3.	Navy Department Dispatch No. 252203, dated Nov. 25, 1941, directing the routing of trans-Pacific shipping through Torres Strait.
4	Chart showing plotting record of early plane flights on Dec. 7, 1941, obtained by Opans Radar Detector Station.
7	Photostatic copy of the Opans Radar Detector Station plot, submitted by Senator Ferguson, identified by Admiral Inglis, and previously marked "Exhibit 3-B in evidence" in the proceedings before Army Pearl Harbor Board.
8	Material obtained by the Army and Navy, primarily from Japanese sources, relating to Japanese plans for Pearl Harbor attack.
8-A	Report dated Nov. 29, 1945, from General MacArthur, Tokyo, with further reference to Japanese plans for Pearl Harbor attack.
8-B	Report dated Nov. 29, 1945, from General MacArthur, Tokyo, with further reference to Japanese plans for Pearl Harbor attack.
8-C	Report dated Dec. 4, 1945, from General MacArthur, Tokyo, with further reference to Japanese plans for Pearl Harbor attack.
8-D	Report dated Dec. 13, 1945, from General MacArthur, Tokyo, with further reference to Japanese plans for Pearl Harbor attack.
9	Selection of letters between Admiral H. R. Stark and Admiral J. O. Richardson, from Jan. 18, 1940, to Feb. 10, 1941.

Exhibit
No.

Description

- 10 Letter dated Jan. 24, 1941, from Secretary of Navy to Secretary of War, regarding defenses of Pearl Harbor, and reply by Secretary of War, dated Feb. 7, 1941; letter from Adjutant General to Commanding General, Hawaiian Department, dated Feb. 7, 1941, transmitting the above letters with instructions and receipt therefor.
- 11 Memorandum dated Oct. 16, 1940, from Admiral Richardson to Admiral Hart, concerning a proposed blockade of Japan in the event of aggressive action over the reopening of the Burma Road.
- 12 Letters from War Department and Navy Department, with enclosures, dated Nov. 7, 1945, and Nov. 14, 1945, respectively, to Congressman Frank B. Keefe, concerning data on amounts requested by the services, amounts approved by the Bureau of the Budget, and contract authorizations for the years 1932 through 1941.
- 13 Study of the air situation in Hawaii, dated Aug. 20, 1941, by Maj. Gen. F. L. Martin, Commanding General, Headquarters, Hawaiian Air Force, for Commanding General, Army Air Forces, through Commanding General, Hawaiian Department.
- 14 Letter dated Apr. 14, 1941, from Assistant Adjutant General, Hawaiian Department to the Adjutant General, entitled "Air Defense of Pearl Harbor," in reply to letter of Feb. 7, 1941, on this subject (see exhibit No. 10), with enclosures relating to: Annex No. 7 to Joint Coastal Frontier Defense Plan, Joint Estimate Hawaiian Air Force and Patrol Wing 2 (Naval Base Defense Air Force), and Field Order No. 1 NS (Naval Security).
- 15 Dispatches from Ambassador Joseph E. Grow, Tokyo, to Department of State, dated Jan. 27, 1941, Nov. 3, 1941, and Nov. 17, 1941; memorandum dated Feb. 1, 1941, from Chief of Naval Operations to Commander-in-Chief, Pacific Fleet, advising him of contents of above message dated Jan. 27, 1941, which reported Japan would make surprise attack on Pearl Harbor in event of trouble with United States.
- 16 (a) Memorandum "Estimate Concerning Far Eastern Situation," dated Nov. 5, 1941, for the President, by General Marshall and Admiral Stark; (b) Minutes of the Joint Board for Nov. 3, 1941; (c) Memorandum "Far Eastern Situation," dated Nov. 3, 1941, for General Marshall by General Cerow.

Exhibit
No.

Description

- 16-A Undated note from President Roosevelt to Secretary Hull, attached to letter dated Oct. 30, 1941, from Secretary Morgenthau to the President, transmitting a message from Generalissimo Chiang Kai-shek.
- 16-B Message dated Nov. 7, 1941, from President Roosevelt to Prime Minister Churchill, delivered through the Department of State.
- 17 Memorandum, "Far Eastern Situation," dated Nov. 27, 1941, for the President, by General Marshall and Admiral Stark.
- 18 Documents relating to proposed "Modus Vivendi," including Secretary Hull's recommendation, dated Nov. 26, 1941, to President Roosevelt.
- 19 Memorandum dated Nov. 29, 1941, by Secretary Hull for the President, attached draft of a proposed message from the President to Congress, and proposed message from the President to Emperor of Japan.
- 20 Message dated Dec. 6, 1941, from President Roosevelt to the Emperor of Japan, and related documents, including draft of proposed message dated Oct. 17, 1941.
- 21 Two dispatches dated Dec. 6, 1941, from Ambassador Winant, London, to State Department; memorandum of conversation dated Nov. 30, 1941, between Secretary Hull and British Ambassador, with attached memorandum; memorandum handed to Mr. Hornbeck by Netherlands Minister on Nov. 21, 1941; dispatch from Secretary of State to United States Consul, Manila, P. I., dated Nov. 29, 1941. All documents concern intelligence information relating to Japanese military and Naval units in the Far East.
- 22 (a) British draft, dated Aug. 10, 1941, of proposed parallel communications to Japanese Government; (b) Two messages from the President (at Atlantic Conference) to Secretary Hull, Nos. 121645 and 169115; (c) Draft, dated Aug. 15, 1941 (not used), of proposed communication to the Japanese Ambassador brought to State Department by Sumner Welles following (Atlantic) conference between the President and British Prime Minister.
- 22-A Revised draft (not used), dated Aug. 16, 1941, of draft dated Aug. 15, 1941 (c above), of proposed statement to the Japanese Ambassador, prepared by Sumner Welles.

<u>Exhibit No.</u>	<u>Description</u>
22-B	Memorandum, dated Aug. 10, 1941, prepared by Sumner Welles of his conversations that date at the Atlantic Conference with Prime Minister Churchill and Sir Alexander Cadogan, concerning proposed parallel action with reference to Japan.
22-C	Memorandum, dated Aug. 11, 1941, prepared by Sumner Welles, of conversations on that date at the Atlantic Conference between President Roosevelt and Prime Minister Churchill, attended by Welles, Sir Alexander Cadogan, and Harry Hopkins concerning "British-American Cooperation."
22-D	Memorandum, dated Aug. 11, 1941, of conversation between Sumner Welles and Sir Alexander Cadogan, concerning "British-American Cooperation" at the Atlantic Conference, and Welles' subsequent conversation with the President.
23	Message, dated Nov. 26, 1941, from Prime Minister Churchill to President Roosevelt, transmitted by Ambassador Winant.
24	Message, dated Nov. 30, 1941, from Prime Minister Churchill to President Roosevelt, transmitted by Ambassador Winant.
25	Dispatch, dated Dec. 1, 1941, from Ambassador Grew, Tokyo, to Secretary of State, reporting reaction in Japan to the Secretary's proposal of Nov. 26, 1941 (the Ten-Point Note).
26	Dispatch, dated Sept. 24, 1940, from Ambassador Grew, Tokyo, to Department of State concerning general policy toward Japan ("green light" dispatch).
27	"Peace and War, United States Foreign Policy, 1931-1941," Introduction (pamphlet edition, 1942). Department of State Publication 1853.
28	"Peace and War, United States Foreign Policy, 1931-1941," Introduction and Documents (cloth edition, 1943), Department of State Publication 1983.
29	"Foreign Relations of the United States, Japan, 1931-1941," vols. I and II (1943), Department of State Publication 2008.
30	"Ten Years in Japan," by Joseph C. Grew, Simon and Schuster (1944).
31	Six dispatches bearing various dates from April 4 to Dec. 2, 1941, from United States diplomatic officials in foreign

Exhibit
No.

Description

- countries reporting to the Department of State inquiries and comments of Japanese representatives in those countries concerning possible break in United States-Japanese relations.
- 32 Selection of messages between the War Department and the Hawaiian Department from July 8 to Dec. 7, 1941. Included are certain messages sent by the War Department to the Western Defense Command, to General MacArthur in the Philippines, and to Commanding General, Panama, as well as their replies.
- 33 Selection of Military Intelligence Estimates prepared by G-2, War Department, Washington, D. C., covering period July 7 to Dec. 6, 1941.
- 33-A Secretary of War's copy of memorandum, dated Oct. 2, 1941, from Col. Hayes A. Kroner to the Chief of Staff, concerning "Japanese-American Relations," bearing note in handwriting of Secretary Stimson.
- 34 Memorandum, dated Oct. 18, 1941, by Brig. Gen. L. T. Gerow, for Chief of Staff, concerning "Resignation of Japanese Cabinet" and proposed message to Army outpost commanders.
- 35 Memorandum, dated Jan. 31, 1942, prepared by Brig. Gen. Sherman Miles, concerning "Warnings Sent to Hawaii Prior to Dec. 7, 1941," with enclosures.
- 36 Photostatic copy of draft of General Marshall's message of Nov. 27, 1941, to General Short, containing phrase "hostile action possible at any moment," which draft contains a sentence that did not appear in the message as transmitted to General Short.
- 37 Selection of dispatches sent and received by the Navy Department entitled "Basic Exhibit of Dispatches."
- 38 Paraphrase of message, dated Dec. 3, 1941, from General Miles to Military Attache, Tokyo, concerning the handling of his codes and secret documents.
- 39 Memoranda for the record on events of Dec. 7, 1941, by Brig. Gen. L. T. Gerow, Acting Assistant Chief of Staff; Col. W. B. Smith, Secretary, General Staff; Brig. Gen. Sherman Miles, Acting Assistant Chief of Staff, G-2; Col. J. R. Deano, Secretary, General Staff.

<u>Exhibit No.</u>	<u>Description</u>
40	Dispatch, dated Dec. 7, 1941, from CINCAP to OPNAV (877645) concerning report of promised armed support to British under certain conditions.
41	Information from documentary evidence on interception, decoding, and translating of Japanese messages Nos. 901, 902, 907, 910 (pilot message, 14-part message, 1 o'clock delivery message, and code destruction message).
42	Copy of Army Regulation No. 10-15, dated Aug. 18, 1936, with amendments up to Dec. 7, 1941, entitled "General Staff--Organization and Duties."
43	Instructions dated Jan. 27, 1941, of Chief of Naval Operations and Chief of Staff concerning staff conversations to be held with representatives of British Commonwealth.
44	Compilation entitled "Copies of Defense Plans," which contains extracts from various basic Army and Navy plans, to illustrate defensive measures contemplated against air attack.
45	Memorandum, dated Nov. 27, 1941, by Brig. Gen. L. T. Gerow, for the Chief of Staff, concerning "Far Eastern Situation" and warning messages to outpost commanders.
46	Photostatic copies of replies by General MacArthur and General Short to warning messages of Nov. 27, 1941, with routing slip.
47	Supplementary documents concerning the Nov. 5, 1941 Marshall-Stark memorandum for the President (see Exhibit No. 16), including dispatch dated Nov. 3, 1941, from Ambassador Gauss to State Department; message dated Nov. 2, 1941, from Chiang Kai-Shek to President Roosevelt; memorandum for Director of Naval Intelligence concerning "Dispatch from Aluana, Chungking, 3/835 "; dispatch 3/835 from Aluana, Chungking, to OPNAV dated Oct. 30, 1941, and another same date, same correspondents; message dated Oct. 28, 1941, from General Magruder, Chungking, to War Department.
48	Two memoranda dated Nov. 17, 1941, by Brig. General Gerow, for the Chief of Staff, concerning "Method of Coordination of Command in Coastal Frontiers," and letter dated Dec. 20, 1941, to Gen. Delos C. Emons, from General Marshall, concerning unity of command in Hawaii.
48-A	Memorandum, dated Dec. 5, 1941, from General Marshall for General Gerow, concerning coordination of command.

<u>Exhibit No.</u>	<u>Description</u>
49	Report dated Mar. 27, 1941, of United States-British Staff conversations (ABC-1).
50	Report of American-Dutch-British Staff conversations at Singapore, dated Apr. 27, 1941 (A.D.B.).
51	Report entitled "Joint Canadian-United States Basic Defense Plan No. " (ABC-22).
52	Compilation of communications between the War Department and General Herron at Hawaii, concerning 1940 alert of Hawaiian Department.
53	Compilation of correspondence between General Marshall and General Short, from Feb. 7 to Oct. 28, 1941.
54	"Notes on General Council Meeting," Feb. 19, 1941, concerning "Defense Objectives" (revised Feb. 13, 1941).
55	Minutes of "Conference in the Office of the Chief of Staff," on Feb. 25, 1941, at which air defense of Pearl Harbor was discussed.
56	Memorandum, dated May 13, 1941, concerning "Dispersion and Protection of Aircraft, Hawaiian Department" by Brig. Gen. Harry J. Maleny, for the Chief of Staff.
57	Minutes of "Conference in the Office of the Secretary of War, May 19, 1941," concerning use of planes in proposed expedition against Martinique, referring to supply of B-17 bombers that were not dispatched to Hawaii.
58	List of President's appointments with military representatives from Oct. 1 to Dec. 7, 1941; telephone calls through White House switchboard on Dec. 6 and 7, 1941; White House dinner guests on Dec. 6, 1941; list of President's appointments on Dec. 6 and 7, 1941.
59	General Marshall's aide memoire to the President concerning "Defense of Hawaii."
60	General Marshall's memorandum for the President concerning "Ground Forces."
61	Photostatic copy of Dec. 7, 1941, warning sent by General Marshall to theater commanders.

Exhibit No.	<u>Description</u>
62	Minutes of the Joint Board meeting, Nov. 26, 1941, at which the situation in the Pacific was discussed.
63	Top Secret Report, Army Pearl Harbor Board, and Top Secret Memorandum of Judge Advocate General in connection therewith.
64	Tentative draft of Standing Operating Procedure, Hawaiian Department, dated July 14, 1941.
65	Memorandum, dated July 3, 1941, from the Chief of Naval Operations and the Chief of Staff, U.S. Army, to Special Navy and Army Observers, London, instructing them to advise British that United States disapprove A.D.B. Report for reasons stated.
66	Dispatch, dated Dec. 6, 1941 (#61255), from CinCAF to OBN/AV and memorandum dated Dec. 6, 1941, by R. E. Schiurmann, for the State Department, relating contents of the dispatch which reported the sighting of Japanese convoys in Far Eastern waters.
67	Intercepted messages, dated Aug. 17, 1941, from Japanese Ambassador Nomura to the Japanese Government, repeating the material handed him that date by President Roosevelt after the President's return from the Atlantic Conference ("parallel action message").
68	Log of U.S.S. <u>Boise</u> for period Nov. 25 to Dec. 7, 1941, inclusive, and map showing position of the ship on certain dates.
69	Table showing scheduled inspections of ships at Pearl Harbor during October, November and December 1941.
70	Message, dated Aug. 18, 1941, from President Roosevelt to Prime Minister Churchill, concerning the President's statements to the Japanese Ambassador on Aug. 17, 1941.
71	Message, dated Aug. 25, 1941, from the State Department to Ambassador Grew, Tokyo, quoting an abstract from radio address of Prime Minister Churchill on Aug. 24, 1941. The extract refers to Japanese policies.
72	Dispatch dated Aug. 1, 1941, from the State Department to Ambassador Grew, Tokyo, and the Ambassador's reply dated Aug. 2, 1941; both concerning the report of Japanese aggression against Thailand; digest of Secretary of State's news conference on Aug. 6, 1941, when he was questioned concerning reports of Japanese aggression against Thailand.

Exhibit No.	<u>Description</u>
73	Three State Department drafts, all dated Oct. 16, 1941, of a proposed message from the President to the Emperor of Japan and a memorandum expressing views of officers in the Far Eastern Division of State Department on the matter. No message was sent to the Emperor at that time (fall of the Konoje cabinet in Japan).
74	Three messages from the State Department to Far Eastern offices, advising American citizens to leave the Orient, dated Oct. 6, 1940, Feb. 11, 1941, and Nov. 19, 1941, respectively.
74-A	Memorandum, dated Dec. 4, 1941, from Far Eastern Affairs Division officers to the Secretary of State concerning British suggestion on exchange of nationals with Japanese Government in event of hostilities.
75	Three messages, all dated Nov. 26, 1941, from Secretary Hull to Ambassador Grew, Tokyo, explaining the delivery of the "Ten Point Note" on that date to the Japanese Ambassador and their oral comments upon its receipt, and furnishing Ambassador Grew with the text of the note.
76	Translations of notes regarding discussion between Adolf Hitler, Foreign Minister von Ribbentrop, State Minister Welser, and Japanese Minister Matsushita in Berlin, Apr. 4, 1941, as introduced at the Nuremberg trials on Nov. 23, 1945.
77	Message, dated Aug. 31, 1940, from United States Embassy, Peking, China, to State Department, presenting summary of the situation in Japan and Manchuria as prepared by A. T. Steele, correspondent for Chicago Daily News, which summary is referred to in Ambassador Grew's message dated Sept. 12, 1940 (Joint Committee Exhibit No. 26), his "green light" dispatch.
78	Navy dispatches concerning "Kra Peninsula Alert (1941)."
79	Navy dispatches concerning Netherlands East Indies Alert (1941).
80	Office of Naval Intelligence: "Fortnightly Summary of Current National Situations," dated Nov. 1, Nov. 15, and Dec. 1, 1941.
81	Selection of Office of Naval Intelligence periodic estimates and memoranda dated from Apr. 17 to Dec. 6, 1941.
82	Navy Regulations concerning Duties of Intelligence Division (OP-16).

Exhibit
No.

Description

- 83 Message, dated Dec. 3, 1941 (#31850), from OPNAV to CinCAF, CinPAC, COM 14, and COM 16, advising them of instructions Japanese sent on Dec. 2, 1941, to certain consular and diplomatic posts to destroy most of their codes and secret documents.
- 84 Transcript of telephone call on Dec. 3, 1941, between a Japanese citizen in Honolulu and a person in Tokyo ("Mori telephone call").
- 85 Selection of Navy Department memoranda reporting Japanese fleet locations during period Nov. 4 through Dec. 3, 1941.
- 86 Tabulations showing Naval combatant strength of the Axis and Allied Powers as of May 1, 1941, and Dec. 7, 1941, in both Atlantic and Pacific Ocean areas.
- 87 Memorandum, dated Dec. 15, 1945, prepared by Maj. Gen. George V. Strong, concerning "Alert of Panama and Hawaiian Departments on June 17, 1940", with attached documents referred to therein.
- 88 Sections of document "Organization of the Office of Naval Operations, Oct. 23, 1940", concerning duties of "War Plans Division (OP-12)".
- 89 Memorandum prepared by Gen. L. T. Gerow, regarding the respective responsibilities of the Army and Navy "For Defense Against Air Attack in Hawaii".
- 90 Dispatch dated Dec. 18, 1941, from the State Department to the American Legation, Bern, Switzerland, concerning Swiss Government's offer to represent American interest in Japanese-occupied territories, and instructions for closing of diplomatic stations under certain emergencies.
- 91 Dispatches dated Dec. 15, 1941 (2), Feb. 16, 1942, and Mar. 25, 1942, from Ambassador Grew, Tokyo, to the State Department, relating action taken in Tokyo to destroy codes, ciphers, and confidential papers and records after outbreak of hostilities.
- 92 Photostatic copy of pp. 591 to 618, vol. 377, Official Reports of the Parliamentary Debates, House of Commons, containing statement by Prime Minister Churchill before the House of Commons on Jan. 27, 1942.

Exhibit No.	<u>Description</u>
93	Photostatic copy of p. 2, G-2 Record Section Register of Incoming Cables on Dec. 5, 1941.
94	Three intercepted messages dated Nov. 26, 1941, from Ambassador Nomura to the Japanese Government, transmitting the "Ten Point Note", which was handed to the Japanese Ambassador by Secretary Hull on that date.
95	Memoranda dated July 12, 1940, by Stanley K. Hornbeck, concerning his conversation with Admiral J. O. Richardson on July 11, 1940, and a handwritten note by Admiral H. R. Stark.
96	Memorandum dated July 16, 1940, and study "Reflections on Certain Features of the Far Eastern Situation and certain problems of United States Far Eastern Policy, July 4, 1940", attributed to Stanley K. Hornbeck.
97	Memorandum dated Sept. 21, 1940, by Stanley K. Hornbeck, for Under Secretary Sumner Welles, concerning a Navy proposal for execution of a Fleet problem involving simulated attack on the Panama Canal during January 1941, and three related memoranda.
98	Memorandum dated Nov. 26, 1941, by Secretary Stimson for the President concerning "Japanese Convey Movement Toward Indo-China".
99	Memorandum dated Sept. 26, 1941, prepared by J. E. Ballantine and H. W. Hamilton, relating their recollection of the delivery of intercepted Japanese messages to Secretary Hull's offices by Lieutenant Commander Kramer on the morning of Dec. 7, 1941.
100	Transcript of Interrogation on Dec. 8, 1941, of (Japanese) Prisoner of War No. 1, by Naval Intelligence officers at Honolulu, statement by the prisoner, and memorandum concerning "Investigation of Japanese Submarine Aground in Palomelo Bay".
101	Log of U.S.S. <u>Enterprise</u> from Nov. 24 to Dec. 16, 1941, inclusive.
102	Log of U.S.S. <u>Lacington</u> from Dec. 5 to Dec. 8, 1941, inclusive.
103	"Report of Action with Japanese Air Force at Oahu, 7. H., Dec. 7, 1941", by Commander, <u>Enterprise</u> Air Group to his Commanding Officer, dated Dec. 15, 1941.

<u>Exhibit No.</u>	<u>Description</u>
104	"U.S.S. <u>Lexington</u> War Diary" for period Dec. 7 to Dec. 25, 1941, inclusive.
105	Certain estimates of Japanese Situation and Intentions as made by British agencies and relayed to this Government during period from Oct. 21, to Nov. 22, 1941.
106	Compilation of letters between Admiral H. H. Stark and Admiral H. E. Kimmel, from Jan. 13 to Dec. 12, 1941.
107	"Appendix to Narrative Statement of Evidence at Pearl Harbor Investigations", prepared by Navy Department. It contains endorsements by Secretary James Forrestal, Judge Advocate General, Admiral T. L. Gatch, and Chief of Naval Operations, Admiral Ernest J. King, to the Hewitt Report, and endorsements by the same officials to the Report of the Navy Court of Inquiry's Findings of Fact.
107-A	"The Findings, Conclusions and Action by the Secretary of the Navy", including the Fourth Endorsement by Secretary Forrestal to the Report of the Navy Court of Inquiry and a summary of an offer by the Navy Department of a General Court Martial for Rear Adm. H. E. Kimmel.
108	Memorandum, dated Nov. 2, 1941, by Stanley K. Hornbeck attached to his memorandum of Feb. 26, 1941, which related to an attached third memorandum by Mr. Hornbeck dated Nov. 27, 1941, entitled "Problem of Far Eastern Relations. Estimate of situation and certain probabilities", described by him as "a memorandum regarding the contents of which there have been leaks and misrepresentation".
109	Guide to Symbols, and series of maps submitted by Admiral R. H. Turner, showing the location of ships Dec. 1 to 6, inclusive, 1941.
110	Compilation of letters from Admiral H. H. Stark to Admiral T. C. Hart, from Feb. 9, 1940, to Nov. 8, 1941.
111	Letter dated Dec. 5, 1941, from President Roosevelt to Mr. Wendell Wilkie, concerning proposal from Australian Minister for Mr. Wilkie to make a trip to Australia, together with related correspondence and memoranda.
112	Dispatches and other material referred to in footnotes to Admiral Kimmel's prepared statement before the Joint Committee.

<u>Exhibit No.</u>	<u>Description</u>
113	Letter, dated Aug. 13, 1941, from Admiral Kimmel to Pacific Fleet Task Force Commanders, concerning Employment Schedules, U.S. Pacific Fleet, Second Quarter, Fiscal Year 1942, and attached Employment Schedules for Task Forces 1, 2, and 3.
113-A	Letter, dated Feb. 21, 1941, from Admiral Kimmel to Pacific Fleet Commanders, concerning "Battle Organization and Condition Watches".
113-B	Pacific Fleet Confidential Letter 14CL-41, dated Oct. 31, 1941, concerning "Task Forces--Organization and Missions."
113-C	(a) Revised Employment Schedules of Task Force 9, submitted pursuant to Pacific Fleet Confidential Letter 14CL-41, together with documents which approve memo; (b) Watch and Deputy Schedules for Patrol Wing 2 (December 1941).
114	WPPac-16, and letters from Admiral Kimmel to his commanders, dated July 21 and July 25, 1941, promulgating WPPac-16, which is U.S. Pacific Fleet Operating Plan--Rainbow Five (Navy Plan O-1, Rainbow 5).
115	Communications Intelligence Summaries concerning location of Japanese Fleet Units: (a) Fourteenth Naval District Summaries, dated Nov. 1 to Dec. 6, 1941; (b) Pacific Fleet Intelligence Officer Reports dated Oct. 27 to Dec. 2, 1941; (c) Pacific Fleet Intelligence Memorandum dated Dec. 1, 1941.
115-A	Fourteenth Naval District "Communication Intelligence Summaries of Dec. 9 and 10, 1941, showing assumed Composition of Japanese Striking Force".
115-B	Pacific Fleet Intelligence Bulletin No. 45-41, dated Nov. 27, 1941.
116	Selection of correspondence of Admiral H. R. Stark, Admiral H. E. Kimmel, and others, from Feb. 11, 1941, to Oct. 3, 1941, concerning anti-torpedo baffles for protection of ships in harbor against torpedo plane attacks.
117	Selection of Army and Navy correspondence from Jan. 15 to Feb. 14, 1941, concerning the air defenses of the Hawaiian Islands.
117-A	Letter dated Feb. 17, 1941, concerning "Maximum Readiness of Aircraft in Hawaiian Area," from Gen. W. C. Short to his Commanders.

<u>Exhibit No.</u>	<u>Description</u>
118	Memoranda, dated Nov. 30 and Dec. 5, 1941, of Admiral Kimmel, entitled "Steps to be Taken in Case of American-Japanese War within Next Twenty-Four Hours".
119	Radio Log of Bishop's Point Radio Station, Oahu, T. H., Dec. 7, 1941.
120	(a) Memorandum dated Dec. 19, 1941, by Admiral Bellinger for Admiral Kimmel concerning "Availability and Disposition of Patrol Planes on morning of Dec. 7, 1941"; (b) Compilation of dates on which Pearl Harbor Air Raid drills were held during 1941; (c) Report of Army-Navy Board dated Oct. 31, 1941, convened to prepare recommendations covering the allocation of aircraft operating areas, Hawaiian area.
121	Statement by Admiral H. E. Kimmel, and copies of correspondence submitted by him concerning the circumstances of his retirement by the Navy Department, and related matters.
122	Selected correspondence in June and August, 1941, of Admiral H. E. Kimmel, Admiral C. G. Bloch, and Gen. W. C. Short, concerning aircraft warning facilities for the Hawaiian Department.
123	Selection of correspondence, memoranda, and dispatches concerning the proposed construction of a combined operating center for Army and Navy in Hawaii, from Oct. 29, 1941, to Jan. 7, 1942.
123-A	Additional selection of correspondence and memoranda concerning Joint Operations Centers for Army and Navy, from Oct. 17, 1941, to Jan. 10, 1942.
124	Intercepted Japanese diplomatic messages between Washington and Tokyo, dated Aug. 18 to Aug. 29, 1941, inclusive, concerning United States-Japanese negotiations and the United States note to Japan dated Aug. 17, 1941. These intercepted messages are additional to those published in Joint Committee Exhibit No. 1.
125	Log of the <u>U.S.S. Wright</u> from Nov. 27 to Dec. 7, 1941, inclusive, and chart of locations of the ship on Nov. 27 and Dec. 7, 1941.
126	(a) Navy Department General Order No. 143, dated Feb. 3, 1941, entitled "Organization of the Naval Forces of the United States"; (b) excerpts from Navy Regulations, setting forth the general duties of a Commander in Chief; (c) Pacific Fleet Staff Instructions (1941), dated July 14, 1941.

Exhibit
No.

Description

- 127 Correspondence, memoranda and dispatches concerning the aircraft situation in Hawaii after Dec. 7, 1941.
- 128 Selected correspondence relating to the proposed prosecution of Japanese consular agents in Hawaii, recommended by United States Attorney in Hawaii by letter dated June 4, 1941.
- 130 Selection of memoranda and dispatches contained in files of State Department concerning Japanese and United States air reconnaissance in Pacific prior to Dec. 7, 1941, including dispatch dated Nov. 29, 1941, from Ambassador Grew to State Department, forwarding note from Japanese Government protesting reported flight of United States planes over Taiwan Nov. 20, 1941, and State Department's reply to Ambassador Grew.
- 132 Selected items obtained by the War Department from General MacArthur's Headquarters, Tokyo: (2) Memorandum "Report on Conference between Foreign Affairs Minister Togo and the American Ambassador, 7:30 a.m., Dec. 8, 1941;" (b) Memorandum "Gist of Conference between Foreign Affairs Minister Togo and the British Ambassador, 8:00 a.m., Dec. 8, 1941;" (c) Memorandum written by one Matsumoto, Head of Treaty Bureau, Japanese Ministry of Foreign Affairs, "On the Declaration of War against the United States and Great Britain--Meeting of Privy Council, Dec. 8, 1941."
- 132-A Additional selected items obtained by the War Department from General MacArthur's Headquarters, Tokyo: (a) Diplomatic messages between Tokyo and Washington which had not been completely intercepted, being Washington to Tokyo Nos. 881, 941, and Tokyo Circular Nos. 2288, 2313 and 2193; (b) Memoranda of three conversations on Aug. 19, 20 and 30, 1941, between German Ambassador Ott and Japanese Foreign Minister Toyoda and Vice-Minister Anan; (c) Memoranda dated Sept. 6 and 13, 1941, concerning basic conditions for the peace settlement between Japan and China; (d) Memorandum dated Nov. 26, 1941, summary of the progress of Japanese-American negotiations.
- 133 Statement by Maj. Gen. Walter G. Short, of events and conditions leading up to the Japanese attacks Dec. 7, 1941, introduced at his request. Identical with the exhibit he introduced before Roberts Commission and Army Pearl Harbor Board.

<u>Exhibit No.</u>	<u>Description</u>
134	Dispatch dated Nov. 29, 1941, from the War Department to Gen. W. C. Short, concerning reinforcement of advance Pacific bases, and five charts and Bureau of the Census publication on the Population characteristics of Hawaii used by General Short in his prepared statement before the Joint Committee.
135	Compiled summary of evidence concerning time of sending and receipt of War Department warning messages of Nov. 27-28, 1941, and replies thereto, together with photostatic copies of the messages.
136	Memorandum dated Nov. 14, 1941, by Lt. Col. C. A. Powell, Signal Officer, Hawaiian Department, concerning operation of radar equipment during recent military exercises, and attached transmitting memorandum for the Special Assistant to Secretary of War.
137	Four original reports concerning training and operations time schedules of radar stations, Hawaiian Department, from Nov. 27, to Dec. 7, 1941, inclusive, and related material.
138	Photostatic copy of memorandum bearing approval by Gen. W. C. Short, of report prepared by Gen. F. L. Martin dated Aug. 20, 1941, entitled "Study of the Air Situation in Hawaii".
139	Two reports dated Oct. 17 and 25, 1941, entitled "G-2 Estimate of International (Japanese) Situation", by Lt. Col. G. W. Bicknell, Assistant G-2, Hawaiian Department.
140	Selection of memoranda by the Secretary of War, Chief of Staff, Judge Advocate General, including proposed charges against Maj. Gen. W. C. Short, retired, prepared by the office of the Judge Advocate General, and related material.
141	File of Capt. E. M. Zacharias, U. S. Navy, entitled "Notes, Correspondence, and Reports Relating to Pearl Harbor and Events Leading Up to It".
142	Compilation of material relating to the "winds" code.
142-A	(a) Memorandum dated Dec. 5, 1941, concerning interception by Portland F.C.C. station of Japanese Weather Broadcast; (b) Federal Communications Commission, Radio Intelligence Division, Night Watch Log for Nov. 24 to Dec. 8, 1941, inclusive.

<u>Exhibit No.</u>	<u>Description</u>
142-B	Additional material concerning translation of Circular No. 2494, from Tokyo, dated Dec. 7, 1941, subsequent to the original translation.
142-C	Letter dated Feb. 4, 1946, from State Department to Committee Counsel, enclosing paraphrases of three messages, two from London dated Dec. 15, 1945 and Jan. 31, 1946, and one from The Hague, dated Jan. 26, 1946, regarding the "winds" messages, indicating no interception by the British or Dutch Governments of a "winds execute" message prior to Dec. 8, 1941.
142-D	Material from Hawaiian office, Federal Communications Commission, concerning the "winds" code.
143	Transcript of the proceedings before the Roberts Commission, Dec. 13, 1941-Jan. 20, 1942; exhibits and exhibit illustrations of the Roberts Commission.
144	Transcript of the proceedings before the Hart Inquiry, Feb. 22, 1944-June 15, 1944; exhibits of the Hart Inquiry.
145	Transcript of the proceedings before the Army Pearl Harbor Board, Aug. 7, 1944-Oct. 6, 1944; exhibits of the Army Pearl Harbor Board; report of the Army Pearl Harbor Board; top secret report of the Army Pearl Harbor Board; memoranda of the Judge Advocate General of the Army.
146	Transcript of the proceedings before the Navy Court of Inquiry, July 24, 1944-Sept. 27-1944; exhibits of the Naval Court of Inquiry.
148	Report of the Clausen Investigation; exhibits of the Clausen Investigation.
150-	Letter dated Mar. 18, 1944, from Admiral H. E. Kimmel, retired, to Admiral W. E. Halsey, suggesting questions for Comdr. A. D. Kramer concerning the "winds" message.
151	Six memoranda prepared by Capt. L. F. Safford, U. S. Navy, during May, June, and July, 1945, in connection with the inquiry conducted by Admiral H. K. Hewitt, concerning intercepted Japanese messages.
152	Memorandum dated Dec. 23, 1941, "General Observations of Damage by Projectiles in the City of Honolulu on Dec. 7, 1941", prepared by employees of the Honolulu Board of Water Supply, and a map of the city of Honolulu, T. H., showing points struck by projectiles, Dec. 7, 1941.

<u>Exhibit No.</u>	<u>Description</u>
153	Prepared statement dated Dec. 1, 1945 entitled "The War Record of Civilian and Industrial Hawaii", with attached exhibits, submitted to the Joint Committee by the Hawaiian Sugar Planters' Association.
154	Compilation of selected correspondence between Gen. H. H. Arnold and Gen. F. L. Martin, from Aug. 15 to Nov. 27, 1941.
155	Original Radar Plot of Detector Station OPANA, Dec. 7, 1941.
156	Memorandum dated June 21, 1941, prepared by Col. Moses W. Pettigrew concerning "Final Recommendations Far Eastern Intelligence Organization."
158	Compilation of selected documents obtained from State Department files relating to United States-British Conversations concerning the Japanese situation.
159	Compilation of selected material obtained from State Department files relating to United States-Chinese Conversations concerning the Japanese situation.
160	Transcript of remarks of the President on the occasion of the meeting of his cabinet at 8:30 (H.M.) and continuing at 9 o'clock with legislative leaders, on Dec. 7, 1941.
161	Drafts of Secretary Knox and Secretary Stimson of a proposed message for the President to deliver to Congress on the state of relations with the Japanese Government.
161-A	Draft of a proposed message to Congress as prepared in the State Department, which contains suggestions made in the memoranda by Secretary Stimson and Secretary Knox, as shown in exhibit No. 161.
162	Log of the Watch Officer, Office of the Chief of Naval Operations, from 1145, Dec. 6, 1941, to 2000, Dec. 7, 1941.
162-A	Naval communications referred to by serial numbers in Log of the Watch Officer, as shown in exhibit No. 162.
163	Log of the U.S.S. <u>Helena</u> from Nov. 26 to Dec. 7, 1941, inclusive.

Exhibit No.	<u>Description</u>
164	Reports made by Gen. W. C. Short and his subordinate officers to the War Department concerning the attack on Oahu, Dec. 7, 1941.
165	Copy of a partial translation of a document relating to a Feb. 23, 1941, conference between German Foreign Minister von Ribbentrop and Japanese Ambassador Oshima.
166	State Department dispatch No. 5682, dated Dec. 5, 1941, to the American Embassy in London. (This dispatch is mentioned in American Embassy, London, dispatch No. 5923, dated Dec. 6, 1941, to State Department, which appears in exhibit No. 21.)
167	(a) State Department file copy of document handed by Secretary Hall to the Japanese Ambassador on Nov. 26, 1941 (the "Ten-Point Note"); (b) State Department statement to the press on Nov. 26, 1941, relating to the delivery of (a); (c) State Department Press Release No. 585, dated Dec. 7, 1941, concerning the delivery and text of (a); and (d) memorandum dated Dec. 2, 1941, concerning President Roosevelt's remarks at his press conference that date, relating to delivery of (a) and general Far East matters.
168	Compilation of documents from State Department files which are dated in November and December, 1941, concerning a proposed <u>modus vivendi</u> , which documents supplement those introduced as exhibit No. 18.
169	Compilation of documents relating to conversations between State Department officials and representatives of the Thailand Government, between Aug. 6 and Dec. 8, 1941.
170	Compilation of documents from War Department files concerning the retirement of Maj. Gen. Walter C. Short, and related matters. These documents supplement those introduced as exhibit No. 140.
171	Compilation of documents from Navy Department files concerning the retirement of Admiral Husband E. Kimmel, and related matters.
172	Compilations made by War and Navy Departments of data concerning airplanes and antiaircraft guns produced and their distribution from Feb. 1 to Nov. 30, 1941.
173	Memoirs of Prince Konoys, former Prime Minister of Japan, and related documents.

<u>Exhibit No.</u>	<u>Description</u>
174	Compilation of miscellaneous documents from State Department files.
175	Memorandum from the Secretary of Navy dated Dec. 5, 1941, and letter from the Secretary of War dated Dec. 6, 1941, submitting estimates concerning Japanese forces in Indochina and adjacent areas, to the Secretary of State, for delivery to the President, and a memorandum dated Dec. 6, 1941, from the Secretary of State for the President.
176	Compilation of locations of United States naval forces in the Atlantic, Pacific, and Far East, also foreign naval forces in the Pacific and Far East, as of Dec. 7, 1941.
177	Compilation of State Department documents dated in 1939, concerning a proposal made by former Japanese Prime Minister Baron Hiranuma for a United States-Japanese understanding.
178	Compilation of documents from Ambassador Joseph C. Grew to the President and the State Department, and attached memoranda.
179	Miscellaneous documents from the files of the late President F. D. Roosevelt, as furnished to the Committee, concerning Far East matters.
180	Organization charts of the Army and Navy at Washington, D. C. and Hawaii, as of Dec. 7, 1941.
181	The United States News, extra number, Sept. 1, 1945, publishing reports of the Army Pearl Harbor Board and the Navy Court of Inquiry, and related documents.
182	Compilation of military intelligence estimates, prepared by G-2, War Department, for period Jan. 1 to July 1, 1941.
183	Material compiled at the request of Senator Ferguson by letter dated May 20, 1946, to Committee counsel, including data concerning the test bombing of the <u>Utah</u> by the Army Air Corps in 1937, and data concerning the program of the Army Air Corps for 1940-45 production of B-17 4-engine bombers.

LABEL LIST

*A list of the Labels on the Boxes Containing the Records of the
Joint Comm. on the Pearl Harbor Attack, 79th Congress*

Box No.

Series

General Correspondence

- 1 Applications to Aranzo de Artiano, Lastenia
- 2 B to Friends of Democracy
- 3 Giegengack, Augustus E. to Q
- 4 R to Z

"Committee Files"

- 5 Comm. Adm. File to documents inserted in transcript
- 6 Photostats from Miss Tull to Comm. general correspondence
- 7 Grew corresp. file to Kimmel-Stark corresp.
- 8 Knox estate corresp. to misc. papers, etc. (1 & 2)
- 9 Misc. papers, etc. (3 & 4)
- 10 Misc. papers, etc. (5) to Presidential directives
- 11 Receipts for records to Rules of Procedure
- 11 1/2 Senate Library, records received from by NA
- 12 Stark, Adm., copies of materials furnished to
- 12 1/2 State Dept. pending file to War Dept. request file
- 13 "Winds" code, materials used for ex. 142: the

Memoranda of Counsel

- 14 Memoranda of Messrs. Mitchell, Richardson, Kaufman, & Marten

Transcripts of Jt. Committee's Hearings

15	Vols. 1-6
16	Vols. 7-13
17	Vols. 14-20
18	Vols. 21-26
19	Vols. 27-32
20	Vols. 33-38
21	Vols. 39-45
22	Vols. 46-51
23	Vols. 52-57
24	Vols. 58-62
25	Vols. 63-67
26	Vols. 67-71

(Extra)

Transcripts of Jt. Committee's Hearings

27	Vols. 1-7
28	Vols. 8-15
29	Vols. 16-22
30	Vols. 23-29
31	Vols. 30-35
32	Vols. 36-42
33	Vols. 43-48
34	Vols. 49-54
35	Vols. 55-60
36	Vols. 61-64
37	Vols. 65-69
38	Vols. 69A-71

Exhibits of the Joint Committee

39 No. 1
39 1/4 No. 2
39 1/2 Nos. 2-8D
40 Nos. 9-27
41 Nos. 28-35
42 Nos. 36-70
43 Nos. 71-106
44 Nos. 107-132
45 Nos. 133-152
46 Nos. 153-172
47 Nos. 173-179
48 Nos. 179-183

Records of the Roberts' Commission

49 Transcript, Vols. 1-5
50 Transcript, 6-11
51 Transcript, 12-16; annex to transcript
52 Exhibits

Records of the Hart Inquiry

53 Transcript & Exhibits
54 Liaison Committee Papers

Records of the Army Pearl Harbor Board

55 Transcript, Vols. 1-9
56 Transcript, Vols. 10-20

- 57 Transcript, Vols. 21-29
- 58 Transcript, Vols. 30-41 & Vols. A-D
- 59 Exhibits, Nos. 1-4, except 3
- 60 Exhibits, Nos. 5-10
- 61 Exhibits, Nos. 11-69
- 62 Reports of APMB; JAG's Memos; & Clausen's Report

Records of the Clausen Investigation

- 63 Exhibits, Nos. 1-3
- 64 Exhibits, No. 4
- 65 Exhibits, Nos. 5-8
- 66 Clausen's Report (2 copies)

Records of the Navy Court of Inquiry

- 67 Transcript, Vols. 1-5
- 68 Exhibits, Nos. 1-63

Galley Proofs of Proceedings and Reports of:

- 69 Roberts Commission
- 70 Roberts Commission
- 71 Hart Inquiry
- 72 APMB - transcript, pp. 1-2894
- 73 APMB - transcript, pp. 2895-4514
- 74 APMB - "top secret" transcript; exhibits
- 75 Navy Court of Inquiry
- 76 Clausen Investigation
- 77 Clarke Investigation; Hewitt Investigation

Printed Hearings of the Joint Committee

- 78 Parts 1-13
79 Parts 14-21
80 Parts 22-29
81 Parts 30-39, except 38 (see next box)
82 Part 38; Report of the Jt. Comm; See preceding box
 for Part 39 of Hearings

Duplicate Set of the Jt. Committee's
Printed Hearings

- 83 Parts 1-13
84 Parts 14-21
85 Parts 22-29
86 Parts 30-37
87 Parts 38-39; Sen. Doc. 244, 79th Cong, 2d Sess.